

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John D. Maynard, et al

Serial No.:

10/786,662

Examiner: Unknown

Filed:

February 25, 2004

Group Art Unit: 3736

For:

Determination of pH Including Hemoglobin Correction

Docket No.

P0110.US2

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

V. Gerald Grafe, Esq.

Dat

Registration Number: 42,599

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Certificate of Mailing

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on the date shown below as First Class Mail, in an envelope addressed to: Mail Stop DD Commissioner for Patents, P. O. Box 1450; Alexandria, VA 22313-

June 16, 2004

Udella L. Kump

Date?

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| GORM PTO-1449 | Atty. Docket No.: P0110.US2 | Serial No.: 10/786,662 | |
| PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: John D. Maynard, et al | | |
| | Filing Date | Group Art: | |
| | February 25, 2004 | 3736 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|---------------------|--------------|----------|--------------|-------|--------------|----------------------------|
| AA | 5,792,050 | 08/11/98 | Alam et al | 600 | 310 | |
| AB | 5,355,880 | 10/18/94 | Thomas et al | 128 | 633 | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|--------------|------|---------|-------|--------------|-----------------------|
| | | | | | |

OTHER ART (Including Author Title Date Pertinent Pages Etc.)

| | OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) |
|----|--|
| BA | J. Todd Kuenstner and Karl H. Norris, <i>Spectrophotometry of Human Hemoglobin in the Near Infrared Region from 1000 to 2500 nm</i> ; J. Near Infrared Spectroscopy 2, 59-65 (1994). |
| BB | David M. Haaland and Edward V. Thomas, Partial Least-Squares Methods for Spectral Analyses 1. Relation to Other Quantitative Calibration Methods and the Extraction of Qualitative Information; Anal. Chem., 60, pp. 1193-1202 (1988). |
| BC | M. Kathleen Alam, Mark R. Rohrscheib, James E. Franke, Thomas M. Niemczyk, John D. Maynard, and M. Ries Robinson, <i>Measurement of pH in Whole Blood by Near-Infrared Spectroscopy</i> ; Applied Spectroscopy Volume 53, Number 3, pp. 316-324 (1999). |
| BD | M. Kathleen Alam, James E. Franke, Thomas M. Niemczyk, John D. Maynard, Mark R. Rohrscheib, M. Ries Robinson, R. Philip Eaton, <i>Characterization of pH Variation in Lysed Blood by Near-Infrared Spectroscopy</i> ; Applied Spectroscopy, Volume 52, Number 3, pp. 393-399 (1998). |
| BE | Songbiao Zhang, Babs R. Soller, Ronald H. Micheels, <i>Partial Least-Squares Modeling of Near-Infrared Reflectance Data for Noninvasive in Vivo Determination of Deep-Tissue pH</i> ; Applied Spectroscopy, Volume 52, Number 3, pp. 400-406 (1998). |
| BF | Songbiao Zhang, Babs R. Soller, Shubjeet Kaur, Kristen Perras, Thomas J. Vander Salm, <i>Investigation of Noninvasive in Vivo Blood Hematocrit Measurement Using NIR Reflectance Spectroscopy and Partial Least-Squares Regression</i> ; Applied Spectroscopy, Voume 54, Number 2, pp. 294-299 (2000). |
| BG | M. Kathleen Alam, James E. Franke, Mark R. Rohrscheib, David Nunez, Vincent Abate, John D. Maynard, Gabor J. Kemeny, <i>Hemoglobin Correction for Near Infrared pH Determination in Lysed Blood Solutions</i> ; Applied Spectroscopy, Volume 57, 1093-1099 (2003). |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.